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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

#7

2123

|   |                        |                    |
|---|------------------------|--------------------|
| <b>TRANSMITTAL FORM</b><br><br>(to be used for all correspondence after initial filing) | Application Number     | 09/404,923         |
|   | Filing Date            | September 24, 1999 |
|   | First Named Inventor   | Francois Douez     |
|   | Group Art Unit         | 2123               |
|   | Examiner Name          | Broda, S.          |
| Total Number of Pages in This Submission  | Attorney Docket Number | 109894-129682      |

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| ENCLOSURES (check all that apply)  |   |  |
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| <input checked="" type="checkbox"/> Fee Transmittal Form                     | <input type="checkbox"/> Assignment Papers (for an Application)                         | <input type="checkbox"/> After Allowance Communication to Group                            |
| <input checked="" type="checkbox"/> Fee Attached                             | <input type="checkbox"/> Drawing(s)   | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences        |
| <input type="checkbox"/> Amendment / Reply                                   | <input type="checkbox"/> Licensing-related Papers                                       | <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final   | <input type="checkbox"/> Petition   | <input type="checkbox"/> Proprietary Information   |
| <input type="checkbox"/> Affidavits/declaration(s)                           | <input type="checkbox"/> Petition to Convert to a Provisional Application               | <input type="checkbox"/> Status Letter   |
| <input type="checkbox"/> Extension of Time Request                           | <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address | <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):            |
| <input type="checkbox"/> Express Abandonment Request                         | <input type="checkbox"/> Terminal Disclaimer  | 1. Copies of 3 IDS Citations;  |
| <input checked="" type="checkbox"/> Information Disclosure Statement         | <input type="checkbox"/> Request for Refund   | 2. Request to Change Attorney Docket Number; and   |
| <input type="checkbox"/> Certified Copy of Priority Document(s)              | <input type="checkbox"/> CD, Number of CD(s) _____                                      | 3. Return Receipt Postcard   |
| <input type="checkbox"/> Response to Missing Parts/Incomplete Application    | Remarks   |  |
| <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 |   |  |

| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT |  |
|--|--|
| Firm or Individual name                    | Robert A. Diehl, Reg. No. 40,992<br>SCHWABE, WILLIAMSON & WYATT, PC. |
| Signature                                  | <i>Robert A. Diehl</i>   |
| Date                                       | October 31, 2002   |

| CERTIFICATE OF MAILING  |                           |      |            |
|---|---------------------------|------|------------|
| I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: 10/31/2002 |                           |      |            |
| Typed or printed name   | Heather L. Adamson        |      |            |
| Signature   | <i>Heather L. Adamson</i> | Date | 10/31/2002 |

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PTO/SB/17 (10-01)  
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Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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## FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 180.00

### Complete if Known

|                      |                    |
|----------------------|--------------------|
| Application Number   | 09/404,923         |
| Filing Date          | September 24, 1999 |
| First Named Inventor | Francois Douezy    |
| Examiner Name        | Broda, S.          |
| Group Art Unit       | 2123               |
| Attorney Docket No.  | 109894-129682      |

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### METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number: 500393  
Deposit Account Name: Schwabe, Williamson & Wyatt, P.C.

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17  
☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Credit Card ☐ Money Order ☐ Other

### FEE CALCULATION

#### 1. BASIC FILING FEE

| Large Entity | Small Entity | Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description        | Fee Paid |
|--------------|--------------|----------|----------|----------|----------|------------------------|----------|
| 101          | 201          | 740      | 370      | 106      | 165      | Utility filing fee     |          |
| 106          | 206          | 330      | 165      | 107      | 255      | Design filing fee      |          |
| 107          | 207          | 510      | 255      | 108      | 370      | Plant filing fee       |          |
| 108          | 208          | 740      | 370      | 114      | 80       | Reissue filing fee     |          |
| 114          | 214          | 760      | 80       |          |          | Provisional filing fee |          |
| SUBTOTAL (1) |              |          |          |          |          |                        | (\$ 0.00 |

#### 2. EXTRA CLAIM FEES

Total Claims: 20\*\* =  x  =   
Independent Claims: 3\*\* =  x  =   
Multiple Dependent:  =

| Large Entity | Small Entity | Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description  | Fee Paid |
|--------------|--------------|----------|----------|----------|----------|--|----------|
| 103          | 203          | 18       | 9        | 102      | 42       | Claims in Excess of 20                                     |          |
| 102          | 202          | 84       | 42       | 104      | 140      | Independent claims in excess of 3                          |          |
| 104          | 204          | 280      | 140      | 109      | 42       | Multiple dependent claim, if not paid                      |          |
| 109          | 209          | 84       | 42       | 110      | 9        | ** Reissue independent claims over original patent         |          |
| 110          | 210          | 18       | 9        |          |          | ** Reissue claims in excess of 20 and over original patent |          |
| SUBTOTAL (2) |              |          |          |          |          |  | (\$ 0.00 |

\*\*or number previously paid, if greater; For Reissues, see above

### FEE CALCULATION (continued)

#### 3. ADDITIONAL FEES

| Large Entity | Small Entity | Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description  | Fee Paid |
|--------------|--------------|----------|----------|----------|----------|--|----------|
| 105          | 205          | 130      | 65       | 127      | 25       | Surcharge - late filing fee or oath  |          |
| 127          | 227          | 50       | 25       | 139      | 130      | Surcharge - late provisional filing fee or cover sheet                     |          |
| 139          | 139          | 130      | 130      | 147      | 2,520    | Non-English specification  |          |
| 147          | 147          | 2,520    | 2,520    | 112      | 920*     | For filing a request for ex parte reexamination                            |          |
| 112          | 112          | 920*     | 920*     | 113      | 1,840*   | Requesting publication of SIR prior to Examiner action                     |          |
| 113          | 113          | 1,840*   | 1,840*   | 115      | 55       | Requesting publication of SIR after Examiner action                        |          |
| 115          | 215          | 110      | 55       | 116      | 200      | Extension for reply within first month                                     |          |
| 116          | 216          | 400      | 200      | 117      | 460      | Extension for reply within second month                                    |          |
| 117          | 217          | 920      | 460      | 118      | 720      | Extension for reply within third month                                     |          |
| 118          | 218          | 1,440    | 720      | 128      | 980      | Extension for reply within fourth month                                    |          |
| 128          | 228          | 1,960    | 980      | 119      | 160      | Extension for reply within fifth month                                     |          |
| 119          | 219          | 320      | 160      | 120      | 160      | Notice of Appeal   |          |
| 120          | 220          | 320      | 160      | 121      | 140      | Filing a brief in support of an appeal                                     |          |
| 121          | 221          | 280      | 140      | 138      | 1,510    | Request for oral hearing   |          |
| 138          | 138          | 1,510    | 1,510    | 140      | 55       | Petition to institute a public use proceeding                              |          |
| 140          | 240          | 110      | 55       | 141      | 640      | Petition to revive - unavoidable   |          |
| 141          | 241          | 1,280    | 640      | 142      | 640      | Petition to revive - unintentional   |          |
| 142          | 242          | 1,280    | 640      | 143      | 230      | Utility issue fee (or reissue)   |          |
| 143          | 243          | 460      | 230      | 144      | 310      | Design issue fee   |          |
| 144          | 244          | 620      | 310      | 122      | 130      | Plant issue fee  |          |
| 122          | 122          | 130      | 130      | 123      | 50       | Petitions to the Commissioner  |          |
| 123          | 123          | 50       | 50       | 126      | 180      | Petitions related to provisional applications                              |          |
| 126          | 126          | 180      | 180      | 581      | 40       | Submission of Information Disclosure Stmt                                  | 180.00   |
| 581          | 581          | 40       | 40       | 146      | 370      | Recording each patent assignment per property (times number of properties) |          |
| 146          | 246          | 740      | 370      | 149      | 370      | Filing a submission after final rejection (37 CFR § 1.129(a))              |          |
| 149          | 249          | 740      | 370      | 179      | 370      | For each additional invention to be examined (37 CFR § 1.129(b))           |          |
| 179          | 279          | 740      | 370      | 169      | 900      | Request for Continued Examination (RCE)                                    |          |
| 169          | 169          | 900      | 900      |          |          | Request for expedited examination of a design application                  |          |

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 180.00

### SUBMITTED BY

Name (Print/Type)

Robert A. Diah

Registration No. (Attorney/Agent)

40,992

Complete (if applicable)

Telephone

503-595-2800

Signature

Date

10/31/2002

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IPG No. 51005.P034



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Technology Center 2100

In re Application for:

Francois Douezy, et al.

Application No.: 09/404,923

Filed: September 24, 1999

For: CLOCK GENERATION AND  
DISTRIBUTION IN AN  
EMULATION SYSTEM

Examiner: Broda, S.

Art Group: 2123

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed Commissioner for Patents, Washington, DC 20231 on:

Date of Deposit: October 31, 2002

Name of Person Mailing: Heather L. Adamson

Signature: Heather L. Adamson Date: 10/31/2002

COMMISSIONER FOR PATENTS  
WASHINGTON, DC 20231

REQUEST TO CHANGE ATTORNEY DOCKET NUMBER

Dear Sir:

Please change the Attorney Docket Number to **109894-129682**.

Respectfully submitted,

SCHWABE, WILLIAMSON & WYATT, P.C.

Dated: 10/31, 2002

Robert A. Diehl  
Robert A. Diehl  
Registration No. 40,992

10260 SW Greenburg Road, Suite 820  
Portland, Oregon 97223  
Telephone: 503-595-2800